.

Form Approved

REPORT DOCUMENTATION PAGE OMB No. 0704-0188 Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this 1. AGENCY USE ONLY (Leave blank) 3. REPORT TYPE AND DATES COVERED 2. REPORT DATE 3 May 1999 Final Report, 3/1/97-5/1/99 4. TITLE AND SUBTITLE 5. FUNDING NUMBERS Enhancing a CAVE with Eye Tracking Systems for Human-Computer Interaction Research in 3D Visualization G N00014 97 10395 6. AUTHOR(S) Deborah Hix 7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) 8. PERFORMING ORGANIZATION Virginia Tech REPORT NUMBER Department of Computer Science 600 McBryde Hall Not Applicable Blacksburg, VA 24061 9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) 10. SPONSORING / MONITORING Office of Naval Research, Code 1141SB AGENCY REPORT NUMBER 800 N. Quincy Street Not Applicable Arlington, VA 22217 11. SUPPLEMENTARY NOTES 12a. DISTRIBUTION / AVAILABILITY STATEMENT 12b. DISTRIBUTION CODE Distribution Unlimited 13. ABSTRACT (Maximum 200 words) The objective of this award was to purchase and install two ISCAN Inc. Eye Tracking Systems and associated equipment to create a unique set-up for research in fully immersive virtual environments (VEs), specifically a CAVE. To our knowledge, the Virginia Tech installation is the first CAVE in the world to have eye-tracking technology incorporated into it. We purchased two ISCAN Inc. Eye Tracking Systems, including calibrator, headband-mounted eye imaging system, dual video monitors, line of sight scene imaging system, magnetic head tracker, and appropriate software. The Eye Tracking Systems collect three-dimensional measurements of a user's eye inside the CAVE. These measurements are performed by calculating the direction of a line called the line-of-sight, which originates from a user's eye and extends into the environment in the direction of the pupil's gaze. Intersections between the line-of-sight and visible objects in the VE are computed, and the results are stored to a log file on disk. This work has the potential to lead to strong analytical assessment methodologies for VEs (see Conclusions above) that can reduce the effort and costs of usability evaluations of VEs. 14. SUBJECT TERMS eye-tracking, CAVE, usability engineering, human-computer interaction, 15. NUMBER OF PAGES visualization 16. PRICE CODE 17. SECURITY CLASSIFICATION 18. SECURITY CLASSIFICATION 19. SECURITY CLASSIFICATION 20. LIMITATION OF ABSTRACT OF REPORT OF THIS PAGE Unclassified OF ABSTRACT Unclassified Unclassified SAR

GRANT #: N000149719395

Principal Investigator: Dr. Deborah Hix

Institution: Virginia Tech

<u>Grant Title</u>: Enhancing a CAVE with Eye-Tracking Systems for Human-Computer Interaction Research in 3D Visualization.

Award Period: 3/1/97 - 5/1/99

Objective:

To purchase and install two ISCAN Inc. Eye Tracking Systems and associated equipment to create a unique set-up for research in fully immersive virtual environments (VEs), specifically a CAVE. To our knowledge, the Virginia Tech installation is the first CAVE in the world to have eye-tracking technology incorporated into it.

Approach:

Virginia Tech's funding to acquire a CAVE came from the National Science Foundation. The instrumentation funded by this DURIP is creating and studying human-computer interaction (HCI) in a CAVE, especially new aspects such as cognitive, physical, behavioral, and psychophysical issues. We purchased two ISCAN Inc. Eye Tracking Systems, including calibrator, headband-mounted eye imaging system, dual video monitors, line of sight scene imaging system, magnetic head tracker, and appropriate software.

The Eye Tracking Systems collect three-dimensional measurements of a user's eye inside the CAVE. These measurements are performed by calculating the direction of a line called the line-of-sight, which originates from a user's eye and extends into the environment in the direction of the pupil's gaze. Intersections between the line-of-sight and visible objects in the VE are computed, and the results are stored to a log file on disk.

There are three general steps to use the Eye Tracking Systems in the CAVE:

- 1. to add functionality to a new or existing CAVE application's source code to accommodate for the eye trackers and collect data
- 2. to set up the Eye Tracking Systems and calibrate them with a user wearing the appropriate headset, to accommodate for slight physiological differences in users' eyes
- 3. to perform an evaluation session in the CAVE with a user to collect data about a user's eye movements, which are stored to a log file.

Although this ONR grant officially began in 1997, we did not order the eye-trackers right away because there were numerous unexpected delays in acquiring space for setting up our CAVE. It finally became fully functional in early 1998. Soon after that we began installation of the ISCAN Eye Tracking Systems. This proved to be technically much more difficult than anticipated. Despite ISCAN's best efforts to help us, there were many unforeseen challenges with installing the

Eye Tracking Systems in the CAVE. Finally by early 1999, the systems were installed and ready to collect data related to HCI and usability of VEs. Initial data files were small and somewhat non-informative. In summer 1999, we have a GRA (funded by a large Naval research project) who will add some enhancements on the serial aspects of the Eye Tracking Systems and will begin collecting larger volumes of data for analysis in terms of HCI and usability issues for designing VEs. We also hope to have an undergraduate student performing an independent study to complete a literature review of eye tracking research, and to propose the next steps in our research program with the Eye Tracking Systems.

Space for all this equipment will eventually be provided in the Advanced Communications and Information Technology Center (ACITC), a \$25 million building currently being constructed on the Virginia Tech campus, and ready to occupy in Summer 2000. The ACITC will serve both on-campus and related regional off-campus information technology programs. Human-computer interaction and scientific visualization have both been targeted by Virginia Tech in its long-term strategic plans for the ACITC.

Accomplishments:

Installation of, we believe, the first Eye Tracking Systems in a CAVE. Collection of eye tracking data for a medical information system, a molecular structure, and a couple of other small VE applications.

Conclusions:

Eye tracking for graphical user interfaces (GUIs) led to varying levels of information in terms of usability and design of GUIs. Much of the eye tracking data for GUIs has not been found to be particularly effective for usability and design. However, we believe this is in large part because of the small screen size for most GUIs; a user's eyes move only a small distance even if they move a lot around the screen. In contrast, in a VE such as a CAVE, a user's eyes typically will move much greater distances. So we believe that when we have collected and analyzed more eye tracking data, we will find that there are some aspects of eye tracking that can be useful in helping assess usability of VEs. A very simple example would be a task thread in which a VE user's eyes moved frequently from the upper front wall of the CAVE to the lower right wall of the CAVE. Finding that this kind of major eye movement is prevalent in a CAVE application would surely be an indication that the layout of objects on the various CAVE walls should be re-examined.

Further, as VEs become more complicated, we are convinced that analytical assessment methodologies for VE usability will become more important, so that as many problems as possible can be found before empirical assessment methodologies (i.e., bringing representative users into the VE application) are applied. Analytical methods that do not involve users directly will be much more cost-effective and rapid than empirical methods that do involve users. While we do not believe analytical methods can or should replace the empirical methods with users, analytical methods such as those that can be supported by eye tracking data can potentially help reduce the overall cost of usability evaluations of VEs.

Significance:

This work has the potential to lead to strong analytical assessment methodologies for VEs (see Conclusions above) that can reduce the effort and costs of usability evaluations of VEs.

Patent Information: None

Award Information:

VR'99 Conference, Houston Texas, March 1999. First paper listed below was awarded Best Technical Paper at this conference, the premiere international conference in virtual environments. After Dr. Hix presented this paper, Dr. Fred Brooks, keynote speaker at the conference, stated in the question and answer period following her talk: "I want to commend you on a very important piece of work. I learned more per hour from your talk than at any time in the past 6 months." Dr. Brooks was the father of OS/360 (the architectural concept, the hardware development, and the operating system), and was the CS department chair for many (15+) years at UNC-Chapel Hill. This Best Technical paper award, made by popular vote of conference attendees, carried a \$1000.00 reward which the authors donated to the Virginia Tech Computer Science Department.

Publications and Abstracts:

Hix, Deborah, Edward Swan, Joseph Gabbard, Mike McGee, Jim Durbin, and Tony King, "User-Centered Design and Evaluation of a Real-Time Battlefield Visualization Virtual Environment." In *Proc. IEEE Virtual Reality* '99, Houston, TX (March 1999).

Gabbard, Joseph, Kent Swartz, Kevin Richie, and Deborah Hix, "Usability Evaluation Techniques: A Novel Method for Assessing the Usability of an Immersive Medical VE." In *Proc. Virtual Worlds and Simulation Conference (VWSIM'99)*, San Francisco CA (January 1999).

Swartz, Kent, Umesh Thakkar, Deborah Hix, and Rachael Brady, "Evaluating the Usability of Crumbs: A Case Study for VE Usability Methods," In *Proc. Third International Immersive Projection Technology Conference*, Stuttgart, Germany (May 1999).

Richie, Kevin, and Deborah Hix, "Eye Motion Tracking in the CAVE," Virginia Tech Department of Computer Science Internal Report (1999).